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## Extracorporeal Membrane Oxygenation in Infants with Congenital Diaphragmatic Hernia: A Systematic Review of the Evidence

### Abstract

**Aim:** The aim of this study was to evaluate the evidence supporting the use of extracorporeal membrane oxygenation (ECMO) in infants with congenital diaphragmatic hernia (CDH) and severe respiratory failure. **Methods:** Medline, Embase, ISI Current Contents and Biosis databases were searched using a defined strategy. Case reports and opinion articles were excluded. We performed: 1) a systematic review of non randomised studies comparing mortality when ECMO was not available with a period when ECMO was available. Mortality was classified as "early" (before hospital discharge) and "late" (after discharge). Patients were classified as "ECMO" and "non-ECMO" candidates according to criteria reported by the authors; 2) a meta-analysis of randomised controlled trials (RCTs) comparing ECMO and conventional mechanical ventilation (CMV). Differences in mortality are reported as relative risk (RR) and 95% confidence intervals. **Results:** A) *Systematic review:* 658 studies and 21 (2043 patients) fulfilled the entry criteria. Both early (RR 0.60 [0.51–0.70];  $p < 0.001$ ) and late mortality (RR 0.63 [0.53–0.73];  $p < 0.001$ )

were significantly lower when ECMO was available than when ECMO was unavailable. This difference in mortality was observed in "ECMO candidates" (RR 0.46 [0.32–0.68];  $p < 0.001$ ) but not in "non-ECMO candidates" (RR 0.80 [0.58–1.10];  $p = 0.17$ ). B) *Meta-Analysis:* 3 trials comparing ECMO and conventional ventilation were identified which included 39 infants with CDH. The early mortality was significantly lower with ECMO compared to CMV (RR 0.73 [95% CI 0.55–0.99];  $p < 0.04$ ), however, late mortality was similar in the two groups (RR 0.83 [0.66–1.05];  $p = 0.12$ ). **Conclusions:** Non randomised studies suggest a reduction in mortality with ECMO. However, differences in the indications for ECMO and improvements in other treatment modalities may contribute to this reduction. The meta-analysis of RCTs indicates a reduction in early mortality with ECMO but no long-term benefit. A large RCT in infants with CDH and severe respiratory failure is warranted.

### Key words

Congenital diaphragmatic hernia · ECMO · extracorporeal life support · extracorporeal membrane oxygenation

### Introduction

Congenital diaphragmatic hernia (CDH) is a common cause of respiratory failure, and is still associated with a high mortality rate, ranging from 8 to nearly 80% [7,16,20,58]. Its incidence is estimated to be between 1 in 2000 and 1 in 5000 live births, although in addition, 30% of affected foetuses are stillborn. CDH is characterised by herniation of bowel loops and liver to the

thorax. This in turn leads to compression of the lungs, mediastinal shift and hypoplasia of both the ipsilateral and contralateral lungs. At birth, pulmonary hypertension due to hypoxia, hypercapnea, respiratory acidosis and hypothermia lead to persistent foetal circulation with pulmonary hypertension, patent ductus arteriosus and right-left shunting. The current treatment consists of endotracheal intubation, mechanical ventilation and fluid resuscitation. In addition, high-frequency oscillatory ventila-

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tion and/or inhaled nitric oxide are frequently used to provide a more gentle ventilation and to correct the pulmonary hypertension. In some centres, extracorporeal membrane oxygenation (ECMO) is also used in selected patients that do not respond to the ventilatory strategies listed above.

Extracorporeal membrane oxygenation (ECMO) is a complex technique that allows the blood to be oxygenated outside the body, obviating the need for gas exchange in the lungs. It is a last resort therapy in those patients who do not respond to maximal conventional therapy. In 1974, Bartlett reported the first newborn with respiratory failure successfully treated by ECMO [2]. Since then, this technique has gained more popularity and over 19000 newborns have been treated with ECMO worldwide and registered in the Extracorporeal Life Support Organization registry [15]. ECMO provides effective but short-term support for the respiratory failure associated with CDH. The use of ECMO provides additional time in which to reverse the persistent pulmonary hypertension which would otherwise be lethal [4,31]. In addition, the use of ECMO avoids high volume and/or pressure ventilation which is traumatic for the lungs. The reported overall survival rate after ECMO for severe respiratory failure is 77% in neonates with a predicted mortality exceeding 80% [15].

The use of ECMO in patients with CDH is receiving growing attention, as testified by the fact that, in 2005 alone, three reviews on this specific issue were published in peer reviewed journals [19,27,42]. However, no conclusive data are available in favour or against the use of ECMO in infants with CDH and respiratory failure. Therefore, in an attempt to define whether ECMO has a role to play in the treatment of infants with severe respiratory failure associated to CDH, we performed a systematic review of published articles comparing ECMO with conventional treatment in infants with CDH. A meta-analysis of both non randomised studies and randomised controlled trials (RCTs) was performed, to compare early and late mortality in the two intervention groups as outcomes.

## Methods

### Search strategy and data collection

Studies were identified by searching Medline (1966–2004), Embase (1978–2004), Biosis (1985–2004), ISI Current Contents, and the Cochrane Central Register of Controlled Trials (CENTRAL, The Cochrane Library, Issue 1, 2005) databases, using the terms “extracorporeal” and “diaphragmatic hernia”. These databases encompass most of the available literature, including medical congress proceedings and scientific journals. In addition, the references of the identified studies were reviewed and included where appropriate.

All studies reporting patients with CDH treated with ECMO were considered. Case reports and opinion articles (editorials, review papers, and letters to the editor) were excluded. Studies reporting the outcome with ECMO alone, or comparing the mortality rates with ECMO with that expected on the basis of mathematical formulas, were also excluded. Studies reporting the outcome of infants with CDH treated when ECMO was available compared

with a period when ECMO was not available and RCTs comparing ECMO with conventional ventilation were included.

Data on early mortality (before hospital discharge) and late mortality (after hospital discharge) were extracted. In non randomised studies, the patients were classified into ECMO candidates and non-ECMO candidates according to the severity criteria reported by the authors, and mortality was extracted for each subgroup. In non randomised studies patients with lethal congenital or chromosomal anomalies, or those fulfilling ECMO exclusion criteria, were excluded. Data from different studies reporting results from the same centre were pooled as appropriate.

### Data analysis

Analysis was performed using the Comprehensive Meta-Analysis software, version 1.0.23 (Biostat Inc., Englewood, NJ, USA). Risk ratios (= relative risks, RR) with 95% confidence intervals (CI) were calculated for each study. In non randomised studies, we used a random effects model (DerSimonian-Laird method) to pool the treatment effects estimated in each study, because non randomised studies have a high degree of inter-study variability, due to both clinical and methodological diversity. In RCTs, we used a fixed effects model (inverse variance method), provided no significant heterogeneity between the studies was found. If significant heterogeneity was found ( $p < 0.1$ ), the DerSimonian and Laird method was used. Pooled results are expressed as RR and 95% CI.

## Results

Our search strategy resulted in 658 papers, seven of which reported the results of RCTs of ECMO versus conventional ventilation in infants. Thirty-four papers (24 studies) had data directly comparing ECMO with conventional ventilation in infants with CDH, either retrospectively or prospectively (RCTs) [1,3,5,6,8,12–14,16,21,22,24–26,30,32,33,35,36,39,44–48,50–56].

### Description of studies

#### Non randomised studies

Twenty-one retrospective studies were identified, reporting the outcome of a total of 2043 patients [1,6,8,12–14,16,21,22,24–26,30,32,33,35,36,39,45–48,52–56]. Of these, 67 patients were excluded because of reported associated anomalies or ECMO exclusion criteria. There was a high variability in both inclusion (Table 1) and, to a lesser extent, exclusion criteria for ECMO.

#### Randomised controlled trials

In addition, we identified three RCTs comparing ECMO with conventional ventilation in infants with severe respiratory failure and which included data on CDH patients [3,5,44,50,51]. Two trials were carried out in Ann Arbor [4,44]; the data of these two trials were pooled together and analysed by intention-to-treat. In the first trial [4], patients were randomised using the Zelen's “play the winner” technique, [59], in which the first patient has the same probabilities to fall into each of the trial arms, but subsequent assignments are based on the results for previous patients, so that patients will have higher probabilities to be randomised into the arm with the treatment doing better. Parental consent was requested only for those patients allocated

**Table 1** Indication criteria for ECMO in the retrospective studies included in the meta-analysis

Indication criteria	Studies (see references)
$OI > 40$	[1, 8, 12, 13, 16, 21, 22, 26, 32, 33, 35, 36, 45–47, 56]
$PaO_2 < 30$ mmHg	[8, 25]
$PaO_2 < 40$ mmHg for > 2 hours	[6, 12, 16, 21, 22, 26, 35, 36, 46, 47]
$PaO_2 < 50$ mmHg for > 4 hours	[6, 56]
$PaCO_2 > 40$ mmHg	[56]
$PaCO_2 > 60$ mmHg	[8]
$PaCO_2 > 100$ mmHg for > 2 hours	[35, 36]
$AaDO_2 > 600$	[39]
$AaDO_2 > 610$	[6, 35, 36]
$MAP > 15$ cmH <sub>2</sub> O for > 12 hours or $MAP > 12$ cmH <sub>2</sub> O for > 24 hours	[35, 36]
Cardiac failure (systolic blood pressure < 40 mmHg; pulse rate > 180 bpm; ejection fraction < 30%) for > 8 hours	[35, 36]
Mean blood pressure < 20 mmHg	[8]
Progressive barotrauma	[21, 35, 36, 45]
$FiO_2 > 0.9$ for > 24 hours	[35, 36]
$pH < 7.15$ for > 2 hours	[6, 21, 45]
Lactate level > 3 mmol/L	[26]
Failure to stabilise with conventional therapy	[8, 13, 16, 30, 32, 33, 39, 52, 54, 55]

to ECMO. Randomisation would have been stopped if 10 patients died in either arm of the trial. The trial was stopped when there were 12 randomised patients, one to conventional ventilation (second patient) who died and 11 to ECMO who all survived [3]. Two of these 12 patients had CDH and were both allocated to ECMO treatment. The second randomised controlled trial aimed at a cost-benefit analysis of ECMO [44]. In this trial, an oxygenation index persistently over 25 was an inclusion criteria, instead of over 40 like in the first trial. Patients allocated to conventional ventilation ■?which oxygenation index raised to 40? ■ were switched to ECMO. Each patient was randomised using an unknown block length design and sealed opaque envelopes into either the ECMO or conventional ventilation arm. In this trial, out of 41 randomised infants, two with CDH were allocated into the conventional management arm and were subsequently switched to ECMO due to failure of conventional ventilation.

The third RCT was from the UK Collaborative ECMO Trial Group [5, 50, 51]. In this trial, patients with severe respiratory failure were considered for ECMO if they had an oxygenation index > 40. After the parents agreed to trial entry, the infant was randomly allocated to treatment by a computerised minimisation algorithm taking into account primary diagnosis, severity, and referral hospital and ECMO centre. This trial was stopped early on by the trial steering committee after 185 patients were enrolled, because the data accumulated showed a clear advantage with ECMO. Patients were analysed by intention-to-treat. Among the 185 enrolled infants, 35 had CDH and were randomised, 18 to ECMO and 17 to conventional ventilation.

## Meta-analysis

### Non randomised studies

Nineteen studies (1810 patients) reported data on early mortality [1, 6, 8, 12–14, 16, 21, 22, 25, 26, 30, 32, 33, 35, 36, 39, 45–48, 52–56]. The meta-analysis of these studies (Fig. 1a) showed that early mortality was significantly lower when ECMO was available compared with a period when ECMO was not available (RR 0.60 [95% CI 0.51–0.70];  $p < 0.001$ ).

Late mortality was reported in eight studies (352 patients) [12, 21, 22, 33, 35, 39, 45–48, 53, 54]. As for early mortality, ECMO was associated with a lower late mortality compared with the period when ECMO was not available (Fig. 1b) (RR 0.63 [95% CI 0.53–0.73];  $p < 0.001$ ).

Seven studies allowed the subdivision of patients in ECMO candidates (184 patients) and non-ECMO candidates (90 patients) [1, 6, 21, 24, 30, 45, 52, 54]. ECMO candidates (Fig. 2a) had a reduced mortality with ECMO available than when ECMO was unavailable (RR 0.46 [95% CI 0.31–0.68];  $p < 0.001$ ). Conversely, for non-ECMO candidates (Fig. 2b) mortality was similar in the two time periods (RR 0.80 [95% CI 0.58–1.10];  $p = 0.17$ ).

### Randomised studies

Both studies reported early and late mortality. The use of ECMO was associated with a significant benefit for early mortality (RR 0.73 [95% CI 0.55–0.99];  $p < 0.04$ ) (Fig. 3a). However, late mortality was similar in patients treated with ECMO compared to those treated with conventional ventilation (RR 0.83 [95% CI 0.67–1.05];  $p = 0.12$ ) (Fig. 3b).

## Discussion

Our meta-analysis of non randomised, retrospective studies on the role of ECMO in patients with CDH suggests a significant reduction in mortality with the use of ECMO, both in the short and the long term. Conversely, the analysis of RCTs comparing ECMO and conventional ventilation in infants with CDH and severe respiratory failure indicates an improvement only in short-term survival.

### Meta-analysis of retrospective studies

The meta-analysis of retrospective studies suggests that the introduction of ECMO led to an improvement of survival in infants with CDH. In fact, both early and late mortality were 20% higher when ECMO was not available compared with a period when ECMO was available. In particular, with the introduction of ECMO short-term mortality fell from 53.2% to 34.5% ( $p < 0.001$ ), and long-term mortality from 56.6% to 35.5% ( $p < 0.001$ ), with a major benefit for those patients considered ECMO candidates and therefore more severely affected.

The use of ECMO in the treatment of infants with CDH was introduced in the late 1970s [17], and since then, the management of infants with CDH has greatly changed. As the studies analysed in this part of the meta-analysis cover a long time span, it is possible that the introduction of other treatment modalities, such as delayed surgery, gentle ventilation with permissive hypercapnea, high frequency ventilation, and inhaled nitric oxide may

a- Short term mortality

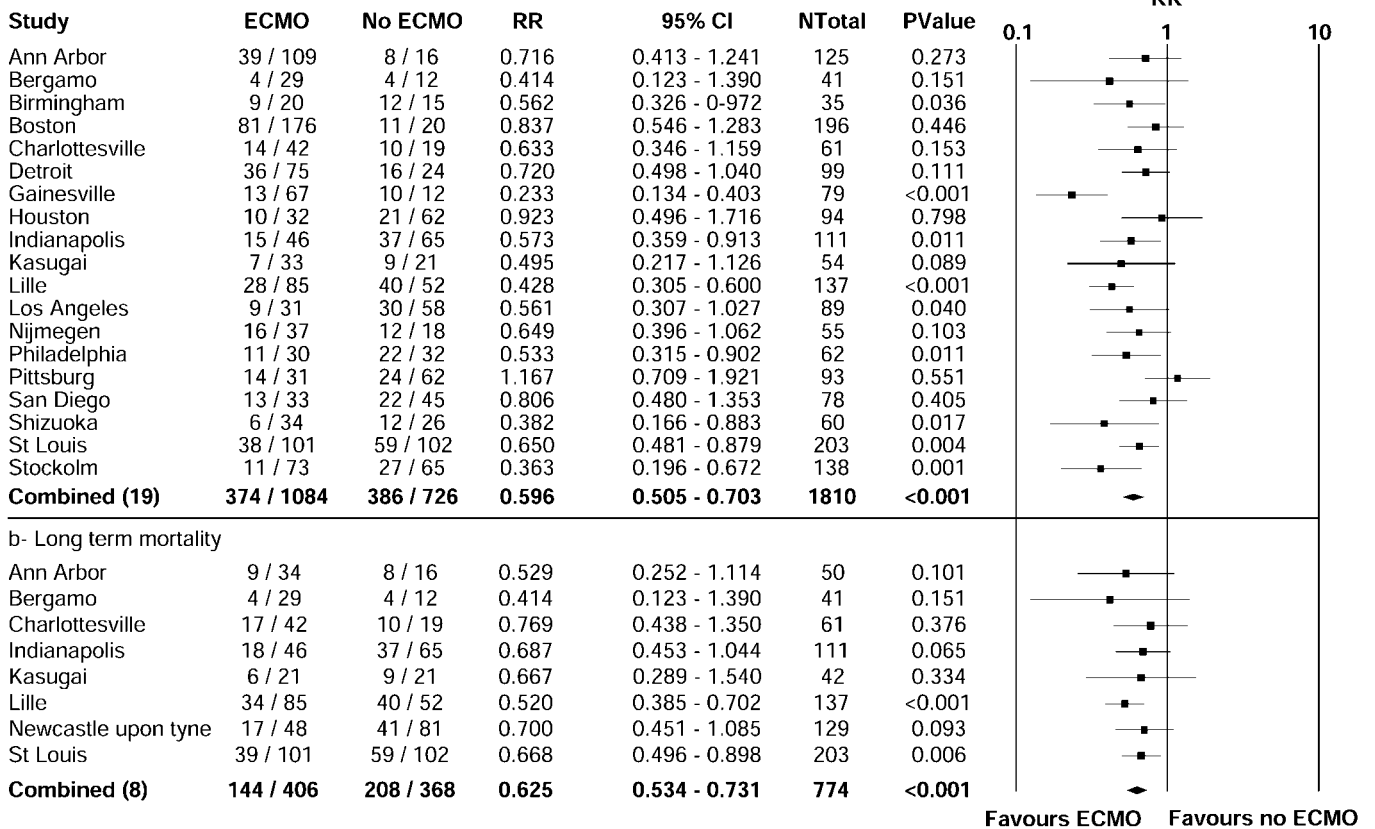


Fig. 1 a and b Meta-analysis of retrospective studies: short-term (a) and long-term (b) mortality of CDH patients when ECMO was available compared with a period when ECMO was not available. Relative risk (RR) and 95% confidence intervals (CI) of each study and DerSimonian-Laird combined RR and 95% CI estimate.

a- ECMO candidates

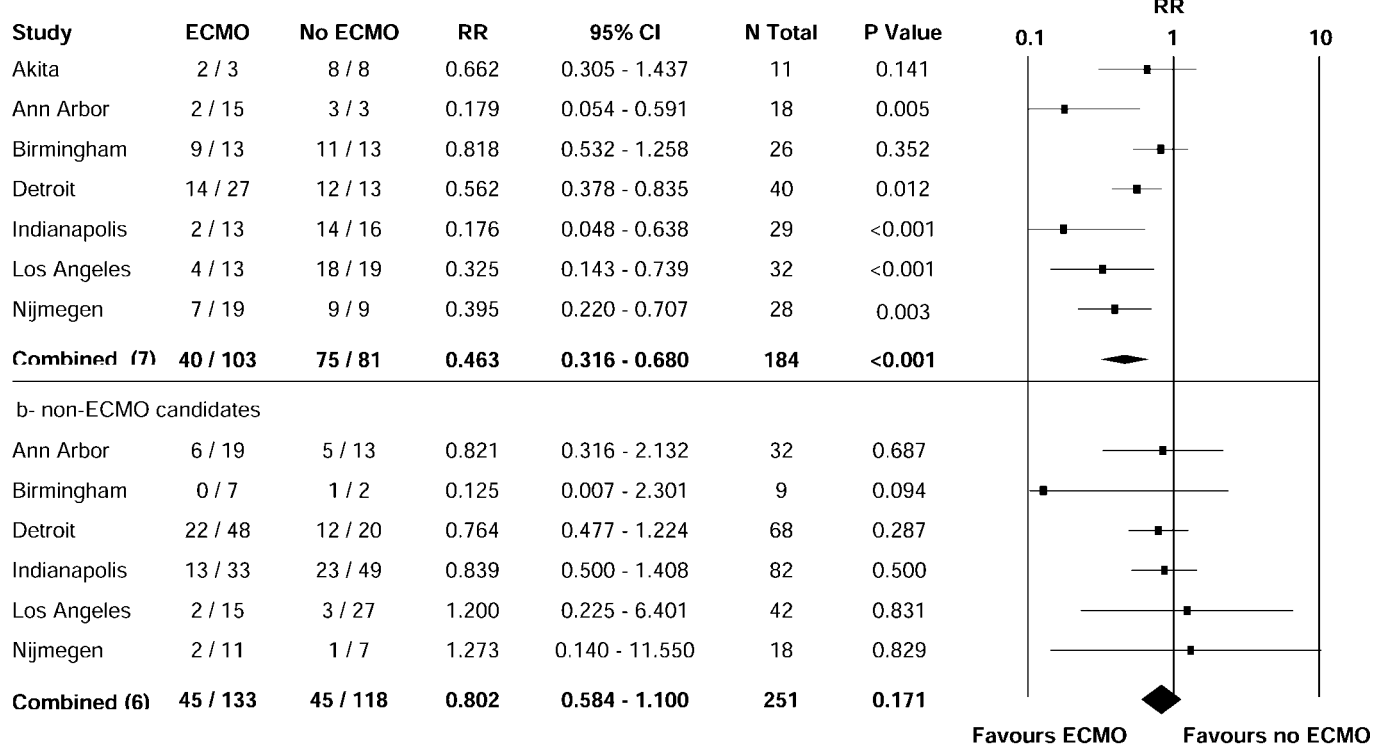


Fig. 2 a and b Meta-analysis of retrospective studies: mortality of CDH patients when ECMO was available compared with a period when ECMO was not available for ECMO candidates (a) and non-ECMO candidates (b). Relative risk (RR) and 95% confidence intervals (CI) of each study and DerSimonian-Laird combined RR and 95% CI estimate.



a- Short term mortality

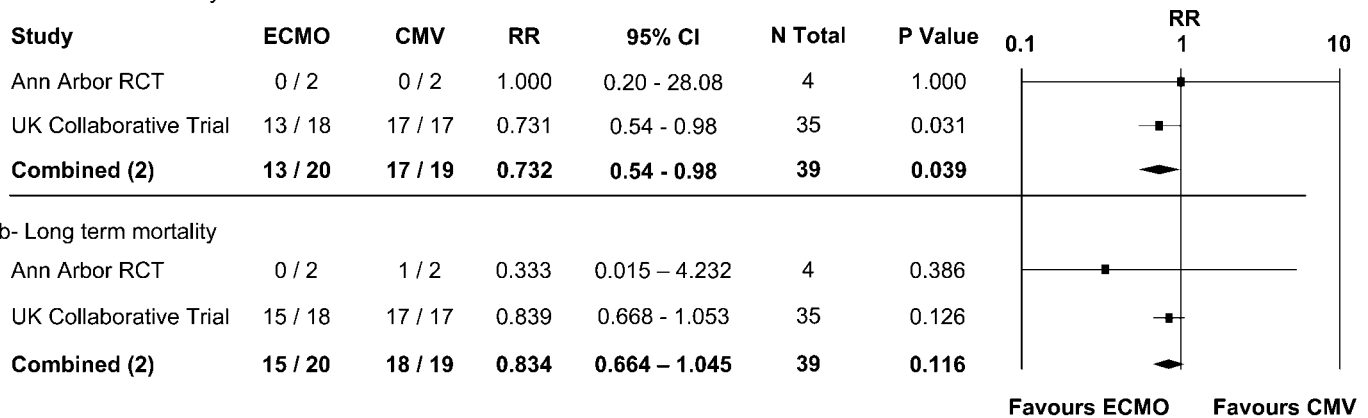


Fig. 3a and b Meta-analysis of RCTs: short-term (a) and long-term (b) mortality of CDH patients treated with ECMO compared with conventional mechanical ventilation (CMV). Relative risk (RR) and 95% confidence intervals (CI) of each study and combined (inverse variance method) RR and 95% CI estimate.

have influenced the outcome in terms of survival. Until the 1990s, CDH was considered a surgical emergency. However, surgical repair is followed by deterioration of respiratory compliance [43], and preoperative stabilisation improves the outcome [37]. For these reasons most centres repair neonatal CDH after preoperative stabilisation. However, both two small randomised trials [10,38] and a recent Cochrane Systematic Review [34] on early versus delayed surgical repair of CDH failed to reveal a clear evidence favouring either early or delayed repair. Also ventilatory strategies have changed over time. Persistent pulmonary hypertension of the newborn was treated with aggressive hyperventilation (frequently achieved with extremely high peak inspiratory pressures, ventilatory rates, and oxygen concentrations) to alkalise the patient and to maintain post-ductal oxygen saturations above 90%. However, with this ventilatory strategy, many infants deteriorated and survival was often complicated by iatrogenic lung disease. In 1985 [57], Wung showed that minimizing iatrogenic barotrauma, avoiding harmful overdistension and tolerating relatively high PaCO<sub>2</sub> may have the potential to improve the outcome of infants with persistent pulmonary hypertension, and later reported that this strategy proved beneficial also in infants with CDH [58]. High frequency ventilation is the ventilation modality currently used in order to reduce hyperventilation-related lung injury. However, controversy surrounds its use in infants with CDH and severe respiratory failure. Although some studies reported encouraging results [11,40], others have demonstrated no benefit with this ventilation modality [23,29]. Last but not least, in the last decade inhaled nitric oxide has been introduced in the treatment of infants with CDH and severe hypoxemic respiratory failure. Nitric oxide is a potent and selective pulmonary vasodilator which was shown to improve oxygenation in infants with persistent pulmonary hypertension of the newborn [28,41]. However, infants with CDH and severe hypoxemic respiratory failure do not demonstrate a sustained beneficial response to inhaled nitric oxide [49]. The lack of improvement with inhaled nitric oxide in infants with CDH may be due to the fact that in these patients, hypoxemic respiratory failure is secondary not only to persistent pulmonary hypertension of the newborn but also to associated severe lung hypoplasia. Therefore, based on the lack of definitive evidence, it is not possible to conclude that any of the ventilation strategies

discussed above taken singularly is able to change the prognosis of infants with CDH, although a combined effect cannot be excluded.

In an attempt to elucidate the effect of ECMO on survival, we performed a subgroup analysis of the non randomised studies by dividing the patients into ECMO candidates and non-ECMO candidates. From the meta-analysis of these studies, it appears that when ECMO became available, survival improved only for ECMO candidates, whose mortality fell from 83.5% when ECMO was not available to 38.3% when ECMO became available ( $p < 0.001$ ). Conversely, for non-ECMO candidates mortality did not change significantly (38.1% to 33.9%;  $p = 0.17$ ). Therefore, the changes in treatment modalities over time (including ECMO) have especially influenced the survival of the most severely affected patients. It is possible that the improvement in survival seen over time could be attributed, at least in part, to the introduction of ECMO.

#### Meta-analysis of RCTs

A potential source of bias when analysing the results of the retrospective part of the meta-analysis is related to the intrinsic inter-study heterogeneity of retrospective studies and potential biases. This is demonstrated by the variability in the indications for ECMO among the analysed studies, which led to the use of ECMO in patients with markedly different degrees of respiratory failure (Table 1). In order to overcome this problem, we performed a meta-analysis of RCTs comparing ECMO and conventional ventilation in infants with CDH and severe respiratory failure. We found only three RCTs comparing ECMO and conventional ventilation in 39 patients with CDH and severe hypoxemic respiratory failure. Two RCTs from the same centre included in the meta-analysis [3,44] had infants with CDH (2 infants each) in only one arm of the trial. In addition, in one of these two trials [44], infants with CDH were initially allocated to the conventional ventilation arm and subsequently shifted to the ECMO arm of the trial due to lack of improvement with conventional ventilation. We pooled together the patients from these two trials and analysed them by intention-to-treat.

The meta-analysis of RCTs suggests that in infants with CDH and severe respiratory failure, ECMO heralds a benefit in terms of short-term mortality compared to conventional ventilation. This benefit is lost at longer term follow-up. However, it should be noted that in the UK Collaborative ECMO Trial [5,50,51], which accounts for 90% of patients included in our meta-analysis, long-term survivors were found only in the ECMO arm of the trial, suggesting a possible effect of ECMO on long-term survival.

The meta-analysis of RCTs included 20 infants treated with ECMO and 19 treated with conventional ventilation. This small number of patients does not allow us to draw any firm conclusion in favour or against the use of ECMO in infants with CDH and severe hypoxemic respiratory failure.

## Conclusions

In infants with CDH, ECMO may contribute to improve survival. However, the available data from both retrospective studies and RCTs, are insufficient to draw firm conclusions with respect to either supporting or discouraging its use. In addition, the use of ECMO in very severe CDH patients may lead to long-term disability [9,18]. Therefore, a large RCT with long-term follow-up is much needed to establish whether ECMO plays a role in infants with CDH and if its use is associated with ominous long-term sequelae.

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